# Representations of reductive groups and invariant theory

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Introduction

- 2 First Fundamental Theorem (FFT)
- 3 Second Fundamental Theorem (SFT)
- Geometric invariant theory (a first step)



Examples of invariants in broader sense

• numbers . . . of finite sets



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- ⇒ study of equivalence classes
- ⇒ invariants

Classification problem



There are more sophisticated invariants...



 Alexander/Jones/Homfly/Kauffman polynomials ... of knots and links

Vasiliev invariants
Chern-Simons invariants
Now there are so many invariants, quantum invariants, ...

- Donaldson invariants
   Seiberg-Witten invariants
   Gromov-Witten invariants . . . quantum cohomology
- Iwasawa invariants . . . for class field theory

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  - ⇒ Sylvester's law of inertia
- determinant:  $\det X$   $\det(gXg^{-1}) = \det X \qquad g : \text{invertible matrix}$   $\det(gX) = \det X \qquad g : \text{unimodular matrix}$



### Examples of classical invariants in invariant theory

- **1** square of distance  $r^2 = x_1^2 + \cdots + x_n^2$ : orthogonal invariant
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quadratic form of signature 
$$(p,q)$$
  $(p+q=n)$   
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- determinant : det X

$$det(gXg^{-1}) = det X$$
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$$\det(gX) = \det X$$

$$det(gX) = det X$$
  $g: unimodular matrix$ 

trace: trace X

$$trace(gXg^{-1}) = trace X \quad g : invertible matrix$$



**3** discriminant :  $\Delta(f)$   $\cdots$   $SL_2$ -invariant

$$f(x) = a_0 x^n + a_1 x^{n-1} + \dots + a_{n-1} x + a_n$$

$$= a_0 \prod_{j=1}^n (x - \zeta_j)$$

$$\Delta(f) := a_0^{2^{n-2}} \prod_{i < j} (\zeta_i - \zeta_j)^2 \quad \dots \text{polynomial in } a = (a_0, a_1, \dots, a_n)$$

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• resultant : R(f,g) ···  $SL_2$ -invariant

$$f(x) = a_0 x^n + a_1 x^{n-1} + \dots + a_{n-1} x + a_n = a_0 \prod_{i=1}^n (x - \zeta_i)$$

$$g(x) = b_0 x^m + b_1 x^{m-1} + \dots + b_{m-1} x + b_m = b_0 \prod_{j=1}^m (x - \xi_j)$$

$$R(f,g) := a_0^m b_0^n \prod_{i,j} (\zeta_i - \xi_j) \quad \dots \text{ polynomial in } a \& b$$

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These are all polynomial invariants and related to some group action



# These are all polynomial invariants and related to some group action

Here is a summary:

distance	$igcup_n \cap \mathbb{R}^n$ : orthogonal group
quadratic form	$O_{p,q} \cap^{\sim} \mathbb{R}^n$ : indefinite orth group
$\det X$ , trace $X$	$\operatorname{GL}_n \curvearrowright M_n$ : adjoint action
$\Delta(f), R(f,g)$	$SL_2 \cap \mathbb{C}[x,y]_n$

Here 
$$\operatorname{GL}_n = \{g: n \times n\text{-matrix} \mid \exists g^{-1} \iff \det g \neq 0\}$$

$$\operatorname{O}_{p,q} = \{g \in \operatorname{GL}_n \mid \|gx\|_{p,q} = \|x\|_{p,q}\} \quad (n = p + q)$$

$$\text{where } \|x\|_{p,q} = x_1^2 + \dots + x_p^2 - x_{p+1}^2 - \dots - x_{p+q}^2$$

$$\operatorname{SL}_n = \{g \in \operatorname{GL}_n \mid \det g = 1\} \quad \text{example of reductive groups}$$

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### Definition (algebraic action)

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### Polynomial functions and invariants:

 $\mathbb{C}[X] := \{f : X \to \mathbb{C} \mid f \text{ is polynomial function}\}$ : ring of regular functions

$$\mathbb{C}[X]^G := \{ f \in \mathbb{C}[X] \mid f(g^{-1} \cdot x) = f(x) \ (\forall g \in G) \}$$
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 $\implies \mathbb{C}[X]^G$  is graded by degree of polynomials, i.e., graded algebra





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Classifying mathematical objects



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  - invariant differential operators (Laplacian) and spherical functions
  - Fourier transform, etc.



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- **1** Find the ring generators  $\{\Delta_i\}_{i \in I} \subset \mathbb{C}[X]^G$  Question : ∃ finite number of generators? Can choose a good basis?
  - FFT = First Fundamental Theorem
- ② Find all the relations among  $\{\Delta_i\}_{i\in I}$ Question: transcending degree? singularities?
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### Final Goal

Better understanding of  $\mathbb{C}[X]^G$  in geometric terms. Understanding of the original action  $G \cap X$  through it.



## Basic setting

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### Definition (reductive)

```
reductive group = nilpotent radical is trivial
```

(if /k, k being algebraically closed, char k = 0)

- = ∀ finite dim representation is completely reducible
- $= \forall$  finite dim repr is decomposed into the direct sum of irreducibles

 $V: \text{reducible} \iff V = U_1 \oplus U_2 \quad (\exists U_i: \text{subrepresentation})$ 

irreducibles = basi unit (atom) of representation

## Example (reductive groups)

```
\mathbb{T}=(\mathbb{C}^{	imes})^m : torus
```

 $\mathsf{GL}_n,\ \mathsf{SL}_n,\ \mathsf{O}_n,\ \mathsf{SO}_n=\mathsf{O}_n\cap\mathsf{SL}_n,\ \mathsf{Sp}_{2n}:$  classical groups

 $G_2$ ,  $F_4$ ,  $E_6$ ,  $E_7$ ,  $E_8$ : exceptional groups

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- §  $G^{\circ}$ : reductive  $\Longrightarrow$  G: reductive  $(G^{\circ}$ : identity component) Extension by finite group  $(\#G/G^{\circ}<\infty)$

## Finite generation of invariants

Here is one of the best answer to FFT

```
Theorem (D. Hilbert 1990, 1993)
G : reductive \curvearrowright V = \mathbb{C}^n : vector space (linear repr)
\implies \mathbb{C}[V]^G : finitely generated algebra / \mathbb{C}
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#### Remark

```
\exists counter example for non-reductive G ... Nagata (1959) : Hilbert's 14th problem Recent work by Mukai (2005) ... Rich examples of finite generation even when G is not reductive
```

$$\# G < \infty \implies G$$
 : reductive

$$\mathbb{C}[V]^G = \{R(f) \mid R(f)(x) = \frac{1}{\#G} \sum_{g \in G} f(g^{-1}x)\}$$

R(f): Reynolds operator (projection to invariants)

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#### Theorem

$$G$$
: a finite reflection group

$$\{\Delta_1,\ldots,\Delta_I\}\subset \mathbb{C}[V]^G$$
 : minimal homogeneous generators

$$\implies \{d_k = \deg \Delta_k \mid 1 \le k \le I\}$$
: uniquely determined (exponents)

# Rational invariants and Galois theory

#### Theorem

$$G$$
: finite group  $\implies \mathbb{C}(V)^G = Q(\mathbb{C}[V]^G)$ : quotient field &  $[\mathbb{C}(V):\mathbb{C}(V)^G] = \#G$ 

## Rational invariants and Galois theory

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 $\mathbb{C}(V)$ : Galois extension of  $\mathbb{C}(V)^G$  with Galois group G i.e.,

Study of  $\mathbb{C}[V]^G \leftrightarrow \text{Galois theory for rings}$ 

$$G=\mathfrak{S}_n \ ^{\frown} \ V=\mathbb{C}^n$$
 : action by coordinate change

$$\mathbb{C}[V]^{G} = \{\text{symmetric polynomials}\}$$
: invariants

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Generators of the ring of invariants  $\mathbb{C}[V]^G$ :

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- {complete symm fun  $h_k(1 \le k \le n)$ }  $\prod_{i=1}^n (1 tx_i)^{-1} = \sum_{k=0}^\infty h_k(x)t^k$

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Exponents  $\{1, 2, \ldots, n\}$ 

Gneretors are alegbraically independent



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### Lemma

 $\Phi:V o\mathbb{C}^n$  is surjective &  $\mathfrak{S}_n$ -invariant

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### Proof.

 $\Phi$  surjective  $\Leftarrow$  the fundamental theorem of algebra (Gauss's theorem) i.e., giving  $\forall$  coeff of the degree n equation,  $\exists n$ -solutions counting with multiplicity ( $\Leftarrow$  fiber)



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Thus we conclude  $V/\mathfrak{S}_n\simeq\mathbb{C}^n$  via the quotient map  $\Phi$ 

 $\Phi: V \to \mathbb{C}^n/\mathfrak{S}_n = \mathbb{C}^n$ : generically  $[\mathfrak{S}_n: 1]$  map (Galois covering)

Generic fiber  $\simeq \mathfrak{S}_n$ , inherits regular representation of  $\mathfrak{S}_n$ 

## Orthogonal invariants

 $G=\mathsf{O}_n \ ^{\frown} \ V=\mathbb{C}^n$  : vector representation (mult of matrix against vector)

#### Problem

Describe the invariants for  $G \cap V \oplus \cdots \oplus V = V^{\oplus m}$ 

 $U:=\mathbb{C}^m \implies V^{\oplus m} \simeq V \otimes U \simeq \mathsf{M}_{n,m}$  coordinates  $x_{ij}$  on  $\mathsf{M}_{n,m}$ 

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FFT for orthogonal invariants:

### Theorem (H. Weyl 1939)

$$\mathbb{C}[V^{\oplus m}]^{\mathsf{O}_n} = \mathbb{C}[z_{ij} \mid 1 \leq i \leq j \leq m]$$
 where  $z_{ij} = \sum_{k=1}^n x_{ki} x_{kj}$ 

$$X = (x_{ij}) \in M_{n,m} \implies Z = (z_{ij}) = {}^{t}XX \in Sym_{m}$$

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## Example

$$m = 1$$
:  $\mathbb{C}[V]^{O_n} = \mathbb{C}[\xi]$   $\xi = x_1^2 + \dots + x_n^2$ 

## Contraction invariants

$$G = \operatorname{GL}_n \cap V = \mathbb{C}^n \implies \mathbb{C}[V^{\oplus m}]^G = \mathbb{C}$$
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#### Problem

Describe invariants for  $G \cap V^{\oplus p} \oplus V^{* \oplus q}$ 

$$U := \mathbb{C}^p, U' := \mathbb{C}^q \implies V^{\oplus p} \oplus V^{* \oplus q} \simeq V \otimes U \oplus V^* \otimes U' \simeq M_{n,p} \oplus M_{n,q}$$
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FFT for contraction invariants:

## Theorem (H. Weyl 1939)

$$\mathbb{C}[V^{\oplus p} \oplus V^{* \oplus q}]^{\mathsf{GL}_n} = \mathbb{C}[z_{ij} \mid 1 \leq i \leq p, 1 \leq j \leq q]$$
 where  $z_{ij} = \sum_{k=1}^n x_{ki} y_{kj}$ : contraction of  $X$  and  $Y$ 

$$X = (x_{ij}) \in M_{n,p}, Y = (y_{ij}) \in M_{n,q} \implies Z = (z_{ij}) = {}^{t}XY \in M_{p,q}$$

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## Theorem (Shephard-Todd 1954)

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Assume \#G < \infty, G \cap V: linear representation \mathbb{C}[V]^G is a polynomial ring (no relations)
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 $\iff$  G is a pseudo-reflection group

#### Remark

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s: pseudo-reflection = \exists U \subset V: (n-1)-dim s.t. s|_U = \mathrm{id}_U pseudo-reflection group = finite group generated by pseudo-reflections
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If  $\exists$  relations, what we can do? Namely

#### Problem

How to describe relations among generators?

Return to the general situation  $G \cap X$   $(X \subset \mathbb{C}^N)$  G: reductive; X: affine variety (solutions of polynomial equations)  $\{\Delta_1, \ldots, \Delta_m\} \subset \mathbb{C}[X]^G$ : generators of invariants Return to the general situation  $G \cap X$   $(X \subset \mathbb{C}^N)$  G: reductive; X: affine variety (solutions of polynomial equations)  $\{\Delta_1,\ldots,\Delta_m\}\subset \mathbb{C}[X]^G$ : generators of invariants  $\Longrightarrow$  Algebra morphism:

$$\Phi^*: \mathbb{C}[y_1,\ldots,y_m]\ni F(\mathbf{y})\mapsto F(\Delta_1,\ldots,\Delta_m)\in \mathbb{C}[X]^G$$

 $\exists$  relation  $F(\Delta_1, \ldots, \Delta_m) \equiv 0 \iff F(\mathbf{y}) \in \operatorname{Ker} \Phi^* =: I$  i.e.,  $I = \operatorname{Ker} \Phi^* \subset \mathbb{C}[\mathbf{y}]$  describes relations completely

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# Theorem (Hilbert's basis theorem)

orall ideal I  $\subset \mathbb{C}[\mathbf{y}]$  admits finite # of generators  $\{F_1,\ldots,F_\ell\}$ 

#### Notation

$$I=(F_1,\ldots,F_\ell)=\sum_{j=1}^\ell \mathbb{C}[\mathbf{y}]F_j$$
 : ideal generated by  $\{F_1,\ldots,F_\ell\}$ 

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SFT describes the generators of relations  $\{F_1, \ldots, F_\ell\}$  completely, which are satisfied by invariants  $\{\Delta_1, \ldots, \Delta_m\}$ 

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## Example (orthogonal invariants)

Recall 
$$G = O_n \cap V^{\oplus m} \simeq M_{n,m}$$
  $(V = \mathbb{C}^n)$  coordinates  $x_{ij}$  on  $M_{n,m} \Longrightarrow$  orthog invariants :  $z_{ij} = \sum_{k=1}^n x_{ki} x_{kj}$   $X = (x_{ii}) \in M_{n,m} \Longrightarrow Z = (z_{ii}) = {}^t XX \in \operatorname{Sym}_m$ 

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- ②  $m > n \implies Z = (z_{ij})$  is of rank n(i.e., relations are (n+1)-th minors in  $\operatorname{Sym}_m$ )

$$I \subset \mathbb{C}[\mathbf{y}]$$
: ideal of relations (prime)  
 $\longleftrightarrow Y = \{\mathbf{y} \in \mathbb{C}^m \mid F(\mathbf{y}) = 0 \ (\forall F \in I)\} \subset \mathbb{C}^m : \text{variety}$ 

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Key theorem:

## Theorem (Hilbert's Nullstellensatz)

(reduced ideals in 
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 $I: prime ideal \longleftrightarrow Y: irreducible$ 

(i.e., 
$$Y = Y_1 \cup Y_2$$
 ( $Y_i : Z$  closed)  $\implies Y = Y_1$  or  $Y = Y_2$ )

#### Conclusion:

SFT = describe algebraic variety defined by relations among invariants

To be continued...